



Diamond OA Sustainability Check Statements

This list of statements can be used to assess the financial sustainability of your institutional publishing, including related services or infrastructure. We encourage you to download it.

It can be used in parallel to or instead of the digital Diamond OA Sustainability Check tool at: <https://diamas.fecyt.es/>

Costs

1. We had no major concerns with our costs last year.
2. Our costs were in balance with our budget last year.
3. Our costs were in balance with our budget in the last 3 years.
4. A cost increase or discontinuation of the external services we use would not put our service at risk.
5. If in-kind contributions stopped, we would not need to stop publishing.
6. We have a contingency plan in the event that our costs significantly increase.

Resources

1. We have sufficient financial resources and staff to provide the service we need for next year (excluding peer reviewers).
2. We have enough financial resources and staff to provide the service we need in the next 3 years (excluding peer reviewers).
3. We have at least two, non-interdependent stable funding streams.
4. We have the capability to continue activities if income streams are disrupted.
5. We do not need grants or sponsorship to cover deficits.
6. We are not regularly at the risk of having to close down.

Income

1. Our revenue streams are relatively stable.
2. We do not rely on grants, subsidies, sponsorship or philanthropic funds to cover key operational costs.
3. Last year we did not need grants, subsidies, sponsorship or philanthropic funds.
4. In the last 3 years, we have not needed grants, subsidies, sponsorship or philanthropic funds.
5. In the last 3 years, we successfully raised the external funds we needed.
6. Our revenue streams neither have a negative impact on quality nor on our editorial independence.

Infrastructure / shared services

1. For core activities, we only use free services and infrastructure, but **only** to keep costs down.
2. We collaborate with others in order to cut costs and raise efficiency.
3. We use shared open infrastructures in order to cut costs and raise efficiency.
4. We develop shared services for others.
5. We develop shared services for others and gain sufficient income from it to cover operational costs.
6. We develop shared services for others and gain sufficient income from it to cover operational and development costs.

Growth

1. The volume of our publishing services has grown in the last year, as has our budget proportionally more or less.
2. The volume of our publishing activities and services has grown in the last 3 years, as has our budget proportionally more or less.
3. We would like to grow or expand our services and have the necessary resources to do so.
4. We have a budget for upgrades and improvements.
5. We work hard to have the funds to grow our service.
6. Year on year we have the funds to grow our service.

Control, monitor and manage finances

1. We have a fair overview of our income and/or expenses.
2. We have a good overview of our income and/or expenses.
3. Expenses are tracked year-on-year.

4. We follow an itemised budget, e.g. by expense and income type, including in-kind contributions.
5. We create and maintain an officially approved annual operating budget.
6. We have clear checks and balances in place to prevent fraud, theft, etc.

Plan for the future

1. Our funding sources are stable for the next year.
2. Our funding sources are stable for the next 3 years.
3. We financially plan for the future.
4. We have a strategy to guarantee the medium-term (3-year) economic viability of our service.
5. We plan for different financial scenarios.
6. We have a plan in place to wind down our service if we were no longer able to continue operating.

If you have further questions, please contact: info AT sparceurope.org

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